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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of \_\_\_\_\_ : January 10, 2001  
L.E. Ullman \_\_\_\_\_ Group Art No. Not assigned  
Serial No. 09/740247 \_\_\_\_\_ Examiner: Not assigned  
Filed: December 18, 2000 \_\_\_\_\_ for IBM Corporation  
Anne Vachon Dougherty  
Title: METHOD AND APPARATUS IN 3173 Cedar Road  
NETWORK MANAGEMENT SYSTEM Yorktown Heights, NY 10598  
FOR PERFORMANCE-BASED  
NETWORK PROTOCOL LAYER FIREWALL

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed herewith are a "List of Prior Art Cited by Applicant" (PTO Form 1449) as required by 37 CFR 1.98(a)(1) and copies of the documents listed therein.

Referenced as AA is US Patent 5,790,554 by Pitcher, et al. It was dated 8/4/1998 and has a Class/Subclass of 370/471.

Referenced as AB is US Patent 6,049,549 by Ganz, et al. It was dated 4/11/2000 and has a Class/Subclass of 370/449.

Referenced as AC is US Patent 5,359,593 by Derby, et al. It was dated 10/25/1994 and has a Class/Subclass of 370/17.

Referenced as AD is US Patent 6,084,955 by Key, et al. It was dated 7/4/2000 and has a Class/Subclass of 379/220.

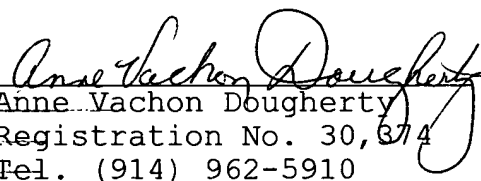
Referenced as AL is Foreign Patent PCT/SE99/02279, dated 7 December 1999.

The documents are provided for the information of the Examiner. Provision of same is not meant to convey that a complete search has been conducted or that the enclosed represents all of the related patents in the subject area.

The Examiner is respectfully requested to consider the enclosed documents and indicate consideration thereof by initialing the enclosed PTO form 1449 and returning a copy of the initialed form to Applicant's undersigned attorney.

Respectfully submitted,

L.E. Ullman

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